Decentralized Bilateral Risk-based Self-healing Strategy for Power Distribution Network with Potentials from Central Energy Stations

Chaoxian Lv, Rui Liang, and Yuanyuan Chai

Abstract—Owing to potential regulation capacities from flexible resources in energy coupling, storage, and consumption links, central energy stations (CESs) can provide additional support to power distribution network (PDN) in case of power disruption. However, existing research has not explicitly revealed the emergency response of PDN with leveraging multiple CESs. This paper proposes a decentralized self-healing strategy of PDN to minimize the entire load loss, in which multi-area CESs' potentials including thermal storage and building thermal inertia, as well as the flexible topology of PDN, are reasonably exploited for service recovery. For sake of privacy preservation, the co-optimization of PDN and CESs is realized in a decentralized manner using adaptive alternating direction method of multipliers (ADMM). Furtherly, bilateral risk management with conditional value-at-risk (CVaR) for PDN and risk constraints for CESs is integrated to deal with uncertainties from outage duration. Case studies are conducted on a modified IEEE 33-bus PDN with multiple CESs. Numerical results illustrate that the proposed strategy can fully utilize the potentials of multi-area CESs for coordinated load restoration. The effectiveness of the performance and behaviors' adaptation against random risks is also validated.

Index Terms—Power distribution network (PDN), central energy station (CES), bilateral risk management, self-healing, alternating direction method of multipliers (ADMM).

Nomenclature

A. Symbols

$B_{t'}$	Probability with outage duration t'
C, H	Cooling and heating power
$C_{ m air}$	Specific heat capacity of air
$C_{t,n}^{\mathrm{in}}$	Injected cooling for building

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$E^{\rm E}, E^{\rm C}$	Unit	penalty	costs	for	electricity	and	cooling
	loads						

 F_n Surface area of building

f Objective value

I, l Current magnitude and its square of branch

 K_n Equivalent heat dissipation coefficient

 N_s Number of scenarios

P, Q Active and reactive power

 P_e , Q_e Active and reactive injections into power distribution network (PDN) of coupling points

 P_{ces} , Q_{ces} Active and reactive outputs of gas turbines (GTs) in central energy stations (CESs)

p. Probability of scenario s

 p_t Comprehensive probability of period t

r, x Resistance and reactance of branch

S Inverter capacity

T Indoor temperature of buildings

 $T_{t,out}$ Outdoor temperature

 $T_{\rm ref}$ Reference indoor temperature

The minimum value of inevitable outage dura-

tion

 t_{out} Outage duration time

V, v Voltage magnitude and its voltage square of bus

V₀ System reference voltage
 V_n Volume of building
 W Cooling energy stored

 Z_p, Z_q Consensus variables

B. Greek Symbols

α Binary variable (1: branch is connected; 0: other-

Binary variable (1: node j is the parent of bus i;

 δ Power factor of gas turbines

0: otherwise)

 Δt Scheduling interval

 ΔT Ramping limit

 ε , λ Heat loss rate and load recovery coefficient



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ζ Value at risk Efficiency of gas-driven device η $\lambda_{e,n}, \lambda_{e,a}$ Vectors of Lagrangian multipliers for PDN Vectors of Lagrangian multipliers for CESs n $\lambda_{\text{ces},p,n}$ $\lambda_{\text{ces},q,n}$ Step size adjustment parameters μ, σ Non-negative values π_s, π_t Penalty parameter ρ Density of air $\rho_{\rm air}$ Heat-electricity ratio τ Confidence level 0

C. Superscripts

HP, WC, Heat pump, water-cooled chiller, cold water CWT, tank, gas turbine, and absorption chiller

GT, AC

 ω

Ω

k Index of iteration number

Weight factor of risk

Set of specified elements

L, TL Load and tie line

max, min The maximum and minimum values

S, R Cooling storage and releasing

D. Subscripts

br, b Branch and bus i, jIndices of buses ij, jh Indices of branches

Indices of CESs and scenarios n, s

Index of time periods t

tie, v Tie switch and voltage support bus

I. Introduction

THE energy dilemma and environmental pollution issues have expedited the revolution of energy utilization [1], [2]. Electricity-gas energy system (EGES), in which energies are distributed by power distribution network (PDN) and natural gas system and end-consumers are fed by central energy stations (CESs), has been widely spread to achieve high-efficiency and low-carbon operation [3]. Due to the existence of flexible resources in energy coupling, storage and consumption links, CESs have become the key points for multi-energy coordination and systematic facilitation [4]. And the regulation potential from CESs can provide additional support for the operation of PDNs in normal and extreme cases [5].

Recently, frequent occurrences of emergencies such as natural disasters and hostile attacks bring out tremendous operation loss to energy system, and these events have the characteristics of low probability and large destruction [6], [7]. Especially, the PDN is more likely to suffer extreme power outages due to the ubiquity of vulnerable electricity infrastructures [8]. Thus, adequate adaption and self-healing response capacity in case of outages are essential for the secure and reliable operation of PDN [9].

Significant efforts have been conducted on the self-healing scheduling of PDN under extreme events. The utilization of various controllable resources such as distributed generations (DGs), network reconfiguration, and demand-side approaches can contribute to the service recovery effect [10]. With the support of DGs and flexible topology of distribution networks, a service restoration strategy is employed in [11] to restore out-of-service loads as much as possible. Reference [12] proposes a supply restoration strategy for active distribution network with soft open points (SOPs), in which the sequential operations of SOP control mode and switching motion are coordinated. Considering the time-series of DGs, energy storage systems (ESSs), and loads, [13] constructs a SOP-based island partition model for load recovery. Reference [14] applies a multi-fault rush repairing strategy to distribution network for minimizing the outage loss and rush repairing time. A synchronous fault location, fault isolation, and service restoration method is proposed in [15], with the post-event recovery ability improved. Considering the threat of ice disaster, a resilience strategy is proposed in [16] by proactive network reconfiguration, with the survivability under disasters improved. Multiple sources including DGs and microgrids are coordinated in [17] for the restoration of critical loads after blackouts, and the restoration problem is solved by two-stage determination of post-event topology and source-load states. By the inclusion of demand response (DR) for varying the load profiles, the service restoration level is significantly leveraging in [18].

As energy systems are undergoing a transition from separated power supply pattern to multi-energy and multi-link collaboration, the coordination potentials of heterogeneous resources for electricity service recovery will be exploitable [19]. Especially, the coupling between electricity and natural gas is progressively increasing due to the widespread application of gas turbines (GTs) and electricity-gas CESs [20], [21]. Along with the flexibility promotion for fault restoration, challenges are arising parallelly on account of interdependence and constraint aggravation [22]. With the interactive support of electricity and natural gas infrastructures, the damages caused by attackers are effectively weakened with three-stage defender-attacker-defender strategy [23], [24]. Reference [25] constructs a multi-energy coordinated load restoration strategy for urban integrated energy system, in which the minimum spanning tree method is used to decompose the distribution network into a multi-island mode for the prioritized recovery of critical loads. To increase the emergency response capacities of power grid, [26] conducts an electricity-gas synergy planning with replacing certain power lines with natural gas transportation system. By exploiting the emergency support of gas/electricity, thermal storages, and building demand response, a multi-stage resilience scheduling with multi-level reserve is investigated in [27]; the critical loads in PDN can be strictly guaranteed. Reference [28] proposes a dynamic recovery strategy for integrated distribution networks, in which available resources including GTs and mobile storages are managed collaboratively to improve the amount of restored electricity loads.

With the optimal operation of combined heat and power (CHPs), a microgrid formation model of electricity-gas system is presented in [29] for resilience improvement.

The above researches mainly focus on the centralized scheduling manner that ignores the obstacles of information exchange. Different utilities may have autocephalous energy management systems (EMSs) and they are usually operated independently with privacy-preserving [30]. Thus, the decentralized scheduling approach, which can decompose original problem into some sub-problems with limited information sharing, has become an applicable choice to realize collaborative optimization [31]. To convert original centralized operation problem into a decentralized mode, several methods including augmented Lagrangian relaxation (ALR), alternating direction method of multipliers (ADMM), and analytical target cascading (ATC) have been developed [32], [33]. Due to preferable convergence performance and extendable decomposing structure, ADMM is widely adopted for solving multisystem co-operation problems [34]. In [35], a decentralized demand response management for industrial park energy system is conducted using the ADMM algorithm. The co-optimization of multi-area integrated electricity-gas systems is realized through ADMM algorithm in [36], and the convergence and accuracy are validated. The optimization process of each subsystem, i.e., electric distribution system, natural gas system, and energy hub systems, is conducted separately by utilizing consensus-based ADMM algorithm in [37]. For the load restoration problem of integrated power distribution and gas systems, [38] uses a consensus-based ADMM algorithm to fulfill scheduling in a distributed manner, with excessive information exchange avoided and utility privacy preserved.

Moreover, extra risks will be evoked regarding various uncertainties, which may derive from renewable energy sources (RESs), multiple demands, and some others. To decrease the negative influence, handling methods such as robust optimization [39], stochastic optimization [40], and chance-constrained programming [41] can be employed for better adaptability to uncertain factors. Conditional value-at-risk (CVaR) is a concept derived from economic field to measure the loss risk of investments, and it has significant application value for risk management on the planning and operation of PDN, microgrid, and integrated energy systems [42]. For the tradeoff between risk and cost under source-load variations, [43] carries out CVaR-based investment-operation planning for multi-energy microgrid, which can provide investment recommendations for decision-makers. A CVaR-averse penalty of voltage violation is integrated into the chance-constrained optimal power flow in [44], with better voltage security guaranteed. To solve the risk caused by fluctuations from RESs and loads, CVaR is introduced into the reserve decision of islanded micrgorid for the coordination of operation security and economy in [45]. Facing various uncertainties including solar, load, and day-ahead price, [46] proposes a risk management model for power, heat, and hydrogen system based on CVaR, and operator's behaviors against random risks are contrastively discussed. As an effective means for risk management, the CVaR indices have not been well utilized in the self-healing scheduling of PDN under uncertainties. It should be noted that conventional self-healing scheduling is usually developed based on the deterministic estimated duration time after fault isolation; in reality, the fault duration is affected by various factors such as disaster situation and rush repair time, resulting in uncertainty of outage duration and operation risk of multiple coupling periods in PDN.

To the best of our knowledge, the uncertainties from outage duration have not attracted much attention in the service recovery of PDN. Facing indeed existing duration disturbance in PDN, it still lacks efficient self-healing strategy due to the time-series relevance of system status for uncertain scenarios. Especially, with deep coupling between PDN and CESs, the auxiliary service potentials of CESs for emergency response need to be well exploited; meanwhile, the integration of CESs raises the difficulty of reasonable risk-based restoration due to the requirements of multi-energy coordination and spatio-temporal resource utilization. Furthermore, the operations of PDN and CESs are often independent along with private information preserving; the optimal self-healing strategy considering endogenous uncertainty from outage duration is more challenging.

To deal with the above issues, this paper proposes a decentralized risk-based self-healing strategy for PDN considering the support of multiple CESs. The main contributions are summarized as follows.

- 1) A self-healing recovery strategy for PDN is proposed considering topology reconfiguration and multiple regulation potentials of multi-area CESs. For dispersive CESs, emergency response from GTs and thermal storage, as well as building thermal inertia are well coordinated for load restoration. Furthermore, the model is tackled as a mixed-integer second-order cone programming (MISOCP) problem.
- 2) Bilateral risk management with CVaR assessment for PDN and margin constraints for CESs is employed to cope with operation risks caused by uncertain outage duration. CVaR criteria are introduced to measure the load shedding risk of PDN while guaranteeing the supply of CESs within permissible margin for risk controllability. Better risk management effects are realized.
- 3) The self-healing strategy is conducted in a decentralized manner, in which consensus-based ADMM algorithm is adopted for reducing information exchange and preserving privacy between PDN and CESs. Meanwhile, the iteration process is expedited by adaptive ADMM algorithm, showing better convergence performance.

The remainder of this paper is organized as follows. Section II builds the mathematical model of system operation, as well as the conic relaxation method. Section III describes the bilateral risk-based self-healing scheduling strategy. The solution methodology of decentralized risk-based self-healing scheduling is presented in Section IV. Case studies are conducted in Section V to verify the performance of the proposed strategy. Finally, conclusions are drawn in Section VI.

II. MATHEMATICAL MODEL OF SYSTEM OPERATION

A. Constraints of PDN

1) Power Flow Constraints

The DistFlow branch model with considering flexible to-

pology is adopted to describe the PDN. Equations (1) and (2) denote the active and reactive power balances of node j at time t. And the branch current magnitude can be obtained by (3). Besides, the active and reactive power injections of node j at time t are described in (4) and (5). Considering network reconfiguration characteristic, the Ohm's law of branch ij at time t is denoted in (6) and (7); and (8)-(10) are supplemented for guaranteeing accuracy, where M is a sufficiently large constant.

$$\sum_{ij \in \mathcal{Q}_{t,i}} (P_{t,ij} - r_{ij} I_{t,ij}^2) + P_{t,j} = \sum_{jh \in \mathcal{Q}_{t,i}} P_{t,jh}$$
(1)

$$\sum_{ij \in \Omega_{br}} (Q_{t,ij} - x_{ij} I_{t,ij}^2) + Q_{t,j} = \sum_{jh \in \Omega_{br}} Q_{t,jh}$$
 (2)

$$V_{t,i}^2 I_{t,ij}^2 = P_{t,ij}^2 + Q_{t,ij}^2$$
 (3)

$$P_{t,j} = -P_{t,n}^{\text{TL}} - \lambda_{t,j} P_{t,j}^{\text{L}} \quad n \in \Omega_{b,j}^{\text{CES}}$$

$$\tag{4}$$

$$Q_{t,i} = Q_{t,n}^{\text{GT}} - \lambda_{t,i} Q_{t,i}^{\text{L}} \quad n \in \Omega_{b,i}^{\text{CES}}$$
 (5)

$$V_{t,i}^2 - V_{t,i}^2 - 2(r_{ii}P_{t,ij} + x_{ij}Q_{t,ij}) + (r_{ii}^2 + x_{ij}^2)I_{t,ij}^2 + M(1 - \alpha_{ij}) \ge 0$$
 (6)

$$V_{t,i}^2 - V_{t,i}^2 - 2(r_{ij}P_{t,ij} + x_{ij}Q_{t,ij}) + (r_{ij}^2 + x_{ij}^2)I_{t,ij}^2 - M(1 - \alpha_{ij}) \le 0$$
 (7)

$$-M\alpha_{ii} \le P_{t,ii} \le M\alpha_{ii} \tag{8}$$

$$-M\alpha_{ij} \le Q_{t,ij} \le M\alpha_{ij} \tag{9}$$

$$0 \le i_{t,ij} \le M\alpha_{ij} \tag{10}$$

2) Topology Constraints

The radical topology should be maintained in the formed islands, which is described as:

$$\alpha_{ij} = \beta_{ij} + \beta_{ji} \quad ij \in \Omega_{br} \tag{11}$$

$$\sum_{ij \in \Omega_{b}} \beta_{ij} = 1 \quad \forall i \in \Omega_{b} / \Omega_{v}$$
 (12)

$$\sum_{ij \in \Omega_{br}} \beta_{ij} = 0 \quad \forall i \in \Omega_{v}$$
 (13)

$$V_{t,i} - V_0 \ge -M \sum_{ij \in \Omega_{br}} \beta_{ij} \tag{14}$$

where V_0 is the system reference voltage. Equation (11) represents the relationship between branch connect state and flow direction; (12) and (13) denote that there is no parent bus for root node and merely one node is permitted to serve as the parent of other nodes; and (14) is to constrain the voltage of root bus.

3) Security Constraints

The security constraints are to restrict the magnitudes of bus voltage and line current.

$$(V^{\min})^2 \le V_{t,i}^2 \le (V^{\max})^2 \tag{15}$$

$$I_{t,ij}^2 \le (I_{ij}^{\max})^2 \tag{16}$$

B. Constraints of CES

This research mainly focuses on the self-healing scheduling for space cooling and electricity during the cooling period. And the cooling devices can be divided into electricity-driven and gas-driven categories.

1) Electricity-driven Devices

Popular electricity-driven devices include ground source heat pump (HP), conventional water-cooled chiller (WC),

and cold water tank (CWT).

The mathematical model of HP is depicted in (17) and (18), where COP denotes coefficient of performance.

$$C_n^{\text{HP,min}} \le C_{t,n}^{\text{HP}} \le C_n^{\text{HP,max}} \tag{17}$$

$$P_{t,n}^{\mathrm{HP}} = C_{t,n}^{\mathrm{HP}}/COP_n^{\mathrm{HP}} \tag{18}$$

The operation constraints of WC are given in (19) and (20).

$$C_n^{\text{WC,min}} \le C_{t_n}^{\text{WC}} \le C_n^{\text{WC,max}} \tag{19}$$

$$P_{tn}^{\text{WC}} = C_{tn}^{\text{WC}} / COP_n^{\text{WC}} \tag{20}$$

CWTs can store the cooling energy from HPs and WCs, and the energy storage constraints, cooling-storage constraints, and capacity constraints are formulated as follows:

$$W_{t,n}^{\text{CWT}} = (1 - \varepsilon_n^{\text{CWT}}) W_{t-1,n}^{\text{CWT}} + C_{t,n}^{\text{CWT,S}} \Delta t - C_{t,n}^{\text{CWT,R}} \Delta t$$
 (21)

$$C_{t,n}^{HP} + C_{t,n}^{WC} \ge C_{t,n}^{CWT,S}$$
 (22)

$$0 \le W_{t,n}^{\text{CWT}} \le W_n^{\text{CWT, max}} \tag{23}$$

2) Gas-driven Devices

Gas-driven devices can be GT and absorption chiller (AC). GTs burn natural gas with electricity and heating generation, and electricity and heating have a certain ratio relationship, as shown in (24) and (25). And (26) presents the constraints of output power.

$$P_{t,n}^{\text{GT}} = \eta_n^{\text{GT}} F_{t,n}^{\text{GT}} \tag{24}$$

$$H_{t,n}^{\text{GT}} = \tau_n^{\text{GT}} P_{t,n}^{\text{GT}} \tag{25}$$

$$0 \le P_{t,n}^{\text{GT}} \le P_{n}^{\text{GT, max}} \tag{26}$$

In case of disruption at the root node of PDN, complete energy loss will occur. Facing this, both active and reactive power supports should be carried out for effective fault restoration. As the coupling point of PDN and natural gas system, converter-based GTs in CESs can serve as controllable distributed generators to provide active and reactive support when electricity emergency takes place [47]. And the power factor of GT should be larger than the minimum allowed value, which can be represented in the form of (27). Furtherly, the converter capacity of GT is constrained in (28).

$$-\frac{P_{\iota,n}^{\mathrm{GT}}\sqrt{1-(\delta_{n}^{\min})^{2}}}{\delta_{n}^{\min}} \leq Q_{\iota,n}^{\mathrm{GT}} \leq \frac{P_{\iota,n}^{\mathrm{GT}}\sqrt{1-(\delta_{n}^{\min})^{2}}}{\delta_{n}^{\min}}$$
(27)

$$\sqrt{(P_{t,n}^{GT})^2 + (Q_{t,n}^{GT})^2} \le S_n^{GT}$$
 (28)

The output power constraints, absorbed power, as well the maximum output constraints of AC are shown as follows:

$$C_{t,n}^{AC} = COP_n^{AC} \cdot H_{t,n}^{AC} \tag{29}$$

$$H_{tn}^{\text{AC}} \le H_{tn}^{\text{GT}} \tag{30}$$

$$0 \le C_{t,n}^{AC} \le C_n^{AC, \max} \tag{31}$$

3) Thermal Inertia Model of Buildings

The thermal inertia characteristic of buildings will provide more flexibilities for system operation, and buildings can be regarded as virtual storages. The mathematical thermal inertia model of buildings in the cooling season can be stated as [48]:

$$\frac{T_{t,n} - T_{t-1,n}}{\Delta t} = \frac{(T_{t,\text{out}} - T_{t-1,n}) K_n F_n - C_{t,n}^{\text{in}}}{C_{\text{oir}} \rho_{\text{oir}} V_n}$$
(32)

4) Power Balance Constraints

The energy supply-demand balances should be ensured every time, and electricity and cooling balances in CESs are expressed as:

$$P_{t,n}^{\text{TL}} + P_{t,n}^{\text{GT}} = P_{t,n}^{\text{HP}} + P_{t,n}^{\text{WC}}$$
 (33)

$$C_{t,n}^{HP} + C_{t,n}^{WC} + C_{t,n}^{AC} - C_{t,n}^{CWT,S} + C_{t,n}^{CWT,R} = C_{t,n}^{in}$$
 (34)

C. Convex Conversion of System Operation

Lots of nonconvex terms exist in the operation model. To expedite the solution, the nonconvex model is converted into an MISOCP formulation.

1) PDN

Auxiliary variables $l_{t,ij}$ and $v_{t,i}$ are introduced to replace $I_{t,ij}^2$ and $V_{t,i}^2$. Thus, (1), (2), (6), (7), (15), and (16) can be linearized:

$$\sum_{ij \in \Omega_{bt}} (P_{t,ij} - r_{ij} l_{t,ij}) + P_{t,j} = \sum_{jh \in \Omega_{bt}} P_{t,jh}$$
(35)

$$\sum_{ij \in \Omega_{ti}} (Q_{t,ij} - x_{ij} I_{t,ij}) + Q_{t,j} = \sum_{jh \in \Omega_{ti}} Q_{t,jh}$$
(36)

$$v_{t,i} - v_{t,j} - 2(r_{ij}P_{t,ij} + x_{ij}Q_{t,ij}) + (r_{ij}^2 + x_{ij}^2)l_{t,ij} + M(1 - \alpha_{ij}) \ge 0$$
 (37)

$$v_{t,i} - v_{t,j} - 2(r_{ij}P_{t,ij} + x_{ij}Q_{t,ij}) + (r_{ij}^2 + x_{ij}^2)l_{t,ij} - M(1 - \alpha_{ij}) \le 0$$
 (38)

$$(V^{\min})^2 \le v_{t,i} \le (V^{\max})^2 \tag{39}$$

$$l_{t,ii} \le (I_{ii}^{\text{max}})^2 \tag{40}$$

For (3), it can be further relaxed as a standard second-order cone constraint, which can be expressed as:

$$\|[2P_{t,ij} \quad 2Q_{t,ij} \quad l_{t,ij} - v_{t,i}]^{\mathrm{T}}\|_{2} \le l_{t,ij} + v_{t,i}$$
 (41)

2) CES

For GT operation in case of electricity emergency, (28) can be converted as a rotating cone constraint:

$$(P_{t,n}^{GT})^2 + (Q_{t,n}^{GT})^2 \le 2\frac{S_n^{GT}}{\sqrt{2}} \frac{S_n^{GT}}{\sqrt{2}}$$
(42)

After convex relaxation and linearization, the original operation model is reformulated as an MISOCP model, which can be effectively solved by mature commercial solver.

III. BILATERAL RISK-BASED SELF-HEALING SCHEDULING

In the section, the bilateral risk-based self-healing model is introduced to realize service recovery and risk measures.

A. Objective Function

The objective function F is to minimize the load losses of PDN and CESs, which is:

$$\min F = \sum_{t=1}^{t_{\text{out}}} p_t (E^{\text{E}} L_t^{\text{E,NS}} + E^{\text{C}} L_t^{\text{C,NS}}) \Delta t$$
 (43)

$$L_t^{\text{E,NS}} = \sum_{i \in \mathcal{Q}_b} (1 - \lambda_{t,i}) P_{t,i}^{\text{L}}$$
(44)

$$L_t^{\text{C,NS}} = \sum_{n \in Q_{\text{cros}}} \left| T_{t,n} - T_{\text{ref}} \right| C_{\text{air}} \rho_{\text{air}} V_n / \Delta t$$
 (45)

p, can be calculated as:

$$p_{t} = \begin{cases} 1 & t < t_{\text{in}} \\ \frac{A_{t}}{\sum_{t=t_{\text{in}} + \Delta t}} & \text{others} \end{cases}$$
 (46)

where A_t equals $\sum_{t'=t}^{t_{out}} B_{t'}$.

B. Bilateral Risk-based Scheduling

1) CVaR-based Risk Management for PDN

The general expression of CVaR model can be described as follows [49]:

$$\min\left(\zeta + \frac{1}{1 - \varphi} \sum_{s=1}^{N_s} p_s \pi_s\right) \tag{47}$$

$$f_s - \zeta \le \pi_s \tag{48}$$

$$\pi_c \ge 0 \tag{49}$$

where π_s is greater than $f_s - \zeta$ in scenario s.

Based on the above CVaR theory and system optimization model, the risk-management model of self-healing strategy can be reformulated as (50).

$$\min \left\{ (1 - \omega)F + \omega \left(\zeta + \frac{1}{1 - \varphi} \sum_{t = t_n + \Delta t}^{t_{out}} p_t \pi_t \right) \right\}$$
 (50)

Except for the original constraints for system operation, the following constraints are supplemented to risk management model.

$$L_t^{\text{E,NS}} - \zeta \le \pi_t \quad \forall t > t_{\text{in}} \tag{51}$$

$$\pi_t \ge 0 \tag{52}$$

In general, we describe the strategy with $\omega > 0.5$ as risk-averse preference, the strategy with $\omega < 0.5$ as risk-seeking preference, and the strategy with $\omega = 0.5$ as risk-neutral preference. To accommodate diverse risk preferences, different values of risk weight factors can be considered. As the weight factor increases from 0 to 1, the scheduling preference turns from risk seeking to risk aversion.

2) Constraint-based Risk Management for CESs

For the essential requirement of CESs, the indoor temperature and the ramping rates of buildings should be maintained within the comfort range, which are expressed as:

$$T^{\min} \le T_{tn} \le T^{\max} \tag{53}$$

$$-\Delta T \le T_{t,n} - T_{t-1,n} \le \Delta T \tag{54}$$

Due to the unpredictability of outage duration time, the fault recovery schedule will keep consistent for each possible duration. Therefore, the schedules including charging-discharging power of thermal storage, building temperature in dispersive CESs, and reconfiguration topology for PDN, as well as the load restoration state, will be issued to the local control system for execution.

IV. SOLUTION METHODOLOGY OF DECENTRALIZED RISK-BASED SELF-HEALING SCHEDULING

Since PDN and CES usually belong to different entities,

only restricted operation information can be exchanged with each other, resulting in the absurdity of centralized scheduling.

In this section, a decentralized method is introduced to achieve private information preserving and independent operation of each subsystem through adaptive ADMM algorithm.

A. Consensus-based ADMM Model for Load Restoration

The energy system is divided into PDN and CES subsystems. The fault restoration of each subsystem is carried out independently, and each operator has complete information of itself, and the shared information with others is only the active and reactive injections for PDN.

In this case, consensus variables Z_p and Z_q are introduced to describe the boundary parameters between them, as depicted in (55).

$$\begin{cases}
\mathbf{P}_{e} = \mathbf{Z}_{p} \\
\mathbf{Z}_{p} = \mathbf{P}_{ces}
\end{cases}$$

$$\mathbf{Q}_{e} = \mathbf{Z}_{q} \\
\mathbf{Z}_{q} = \mathbf{Q}_{ces}$$
(55)

1) Subproblem of PDN Operation

The augmented Lagrangian function is constructed for the load restoration of PDN subproblem, as expressed in (56) and (57):

$$\min \left\{ (1 - \omega) \sum_{t=1}^{t_{out}} p_t E^{E} L_t^{E,NS} \Delta t + \omega \left(\zeta + \frac{1}{1 - \varphi} \sum_{t=t_{in} + \Delta t}^{t_{out}} p_t \pi_t \right) + \lambda_{e,p}^{k} (\boldsymbol{P}_{e}^{k} - \boldsymbol{Z}_{p}^{k-1}) + \frac{\rho}{2} \left\| \boldsymbol{P}_{e}^{k} - \boldsymbol{Z}_{p}^{k-1} \right\|_{2}^{2} + \lambda_{e,q}^{k} (\boldsymbol{Q}_{e}^{k} - \boldsymbol{Z}_{q}^{k-1}) + \frac{\rho}{2} \left\| \boldsymbol{Q}_{e}^{k} - \boldsymbol{Z}_{q}^{k-1} \right\|_{2}^{2} \right\}$$

$$(56)$$

s.t.

2) Subproblem of CES Operation

The augmented Lagrangian function for CES n is expressed as:

$$\min \left\{ (1 - \omega) \sum_{t=1}^{t_{\text{out}}} p_t E^{C} \middle| T_{t,n} - T_{\text{ref}} \middle| C_{\text{air}} \rho_{\text{air}} V_n + \frac{\lambda_{\text{ces},p,n}^{k} (\boldsymbol{P}_{\text{ces},n}^{k} - \boldsymbol{Z}_{p,n}^{k-1}) + \frac{\rho}{2} \middle\| \boldsymbol{P}_{\text{ces},n}^{k} - \boldsymbol{Z}_{p,n}^{k-1} \middle\|_{2}^{2} + \frac{\lambda_{\text{ces},q,n}^{k} (\boldsymbol{Q}_{\text{ces},n}^{k} - \boldsymbol{Z}_{q,n}^{k-1}) + \frac{\rho}{2} \middle\| \boldsymbol{Q}_{\text{ces},n}^{k} - \boldsymbol{Z}_{q,n}^{k-1} \middle\|_{2}^{2} \right\}$$

$$(58)$$

s.t.

The combination of $\lambda_{\cos,p,n}/\lambda_{\cos,q,n}$ for multiple CESs will form vectors $\lambda_{\cos,p}/\lambda_{\cos,q}$.

With the consensus-based ADMM algorithm, the unified self-healing model can be decomposed into several subproblems, which can be solved separately by consensus interaction. The consensus-based ADMM for decentralized recovery is illustrated in Algorithm 1.

Algorithm 1: consensus-based ADMM for decentralized recovery

- Input parameters for each subsystem, including system topology, load, environment information, and equipment parameters
- **2.** Initialize algorithm parameters, including $\lambda_{e,p}^0$, $\lambda_{e,q}^0$, $\lambda_{\cos,p}^0$, $\lambda_{\cos,p}^0$, $\lambda_{\cos,q}^0$, Z_p^0 , Z_q^0 , ρ , convergence thresholds $\varepsilon_{\rm pri}$, $\varepsilon_{\rm dual}$, and the maximum iteration $k_{\rm max}$
- **3.** for $k = 1, 2, ..., k_{\text{max}}$
- Perform decentralized self-healing optimization for each subsystem PDN optimization

Objective function: (56)

Constraints: (4), (5), (8)-(14), (35)-(41)

CES optimization

Objective function: (58)

Constraints: (17)-(27), (29)-(34), (42)

5. Exchange coupling variables and update consensus variables:

$$Z_p^k = (P_e^k + P_{ces}^k)/2, Z_q^k = (Q_e^k + Q_{ces}^k)/2$$

6. Calculate the primal residual PR^k and dual residual DR^k :

$$\begin{aligned} PR_p^k &= \left\| \boldsymbol{P}_e^k - \boldsymbol{P}_{\text{ces}}^k \right\|_2^2 \\ PR_q^k &= \left\| \boldsymbol{Q}_e^k - \boldsymbol{Q}_{\text{ces}}^k \right\|_2^2 \\ DR_p^k &= \left\| \boldsymbol{P}_e^k - \boldsymbol{P}_{\text{c}}^{k-1} \right\|_2^2, \\ DR_q^k &= \left\| \boldsymbol{Q}_e^k - \boldsymbol{Q}_{\text{c}}^{k-1} \right\|_2^2 \\ PR^k &= \max \left\{ PR_p^k, PR_q^k \right\} \\ DR^k &= \max \left\{ DR_p^k, DR_q^k \right\} \end{aligned}$$

7. Check the stopping criteria

if
$$PR^k \le \varepsilon_{pri} \& DR^k \le \varepsilon_{dual}$$

Output the self-healing scheduling results and break

Update the Lagrangian multipliers for each subsystem

$$\lambda_{e,p}^{k+1} = \lambda_{e,p}^{k} + \rho(\boldsymbol{P}_{e}^{k} - \boldsymbol{Z}_{p}^{k})$$

$$\lambda_{e,q}^{k+1} = \lambda_{e,q}^{k} + \rho(\boldsymbol{Q}_{e}^{k} - \boldsymbol{Z}_{q}^{k})$$

$$\lambda_{e,q}^{k+1} = \lambda_{e,e,p}^{k} + \rho(\boldsymbol{P}_{e}^{k} - \boldsymbol{Z}_{p}^{k})$$

$$\lambda_{e,q}^{k+1} = \lambda_{e,e,p}^{k} + \rho(\boldsymbol{Q}_{e,e}^{k} - \boldsymbol{Z}_{p}^{k})$$

$$\lambda_{e,e,q}^{k+1} = \lambda_{e,e,p}^{k} + \rho(\boldsymbol{Q}_{e,e}^{k} - \boldsymbol{Z}_{p}^{k})$$

8. k = k + 1

9. end

B. Self-adaptive Step Size Model for ADMM

The convergence efficiency of ADMM is significantly affected by the value of step size. Conventional ADMM is conducted with fixed value, leading to the deterioration of algorithm performance in the last stage of iteration. One effective method to facilitate convergence speed is to adjust parameters for each iteration. As for the issue, a self-adaptive step size method for ADMM (adaptive ADMM) is utilized to improve the algorithm performance, in which the penalty parameter ρ is dynamically modified with less dependence on the initial value, shown as follows [50]:

$$\rho^{k+1} = \begin{cases} \rho^k (1+\mu) & PR^k \ge \sigma \cdot DR^k \\ \rho^k (1+\mu)^{-1} & DR^k \ge \sigma \cdot PR^k \\ \rho^k & \text{others} \end{cases}$$
 (60)

where $\sigma > 1$ and $\mu > 1$.

Based on the energy structure and forecasting data of the whole system, the EMSs for CESs and the distribution net-

work operator (DSO) for PDN generate self-governed schedules separately after evaluating the outage duration probability in case of power disruption. The optimal risk-based self-healing strategy for PDN and CESs can be obtained in a decentralized way by limited information exchange and iterative optimization. Then, the corresponding schedules will be issued to each device for execution. The proposed self-healing framework provides a novel decentralized risk-based load recovery for PDN by uncertainty evaluation and CVaR-based management, and the flexibilities of CESs are fully utilized with the self-healing capacity significantly facilitated.

V. CASE STUDIES

In this section, the rationality and effectiveness of the decentralized self-healing strategy with risk management are verified on the distribution network, which is composed of a modified IEEE 33-bus PDN integrated with multiple CESs. Case studies are carried out on Intel CPU i9-10900K and 32 GB RAM-based PC with MATLAB 2020b platform. The self-healing strategy is solved in YALMIP toolbox and optimized by linking CPLEX 12.1 solver [51].

The structure of the modified IEEE 33-bus PDN with multiple CESs is shown in Fig. 1, and the configuration and energy flows of CESs are shown in Fig. 2. The rated voltage of IEEE 33-bus PDN is 12.66 kV and the allowed voltage fluctuation range during outage period is [0.95, 1.05]p. u.. The network consists of 32 lines and 5 tie switches. The total active and reactive loads are 3715.0 kW and 2300 kvar, respectively. Detailed parameters can be found in [52]. Two CESs are installed at nodes 14 and 21 to serve as integrated energy aggregators for providing thermal demands for closeby consumers. Consistent configurations are assumed for all CESs and they are comprised of HPs, WCs, CWTs, GTs and ACs. The device parameters of CESs are listed in Appendix A Table AI. And the converter capacity for each CES is set to be 1500 kVA. The building parameters of each CES are shown in Appendix A Table AII.

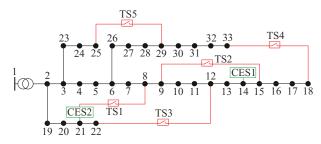


Fig. 1. Structure of modified IEEE 33-bus PDN with multiple CESs.

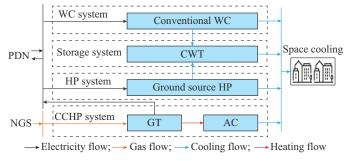


Fig. 2. Configuration and energy flows of CESs.

The scheduling interval is 0.5 hour and a typical day in cooling season is selected for case analysis. The electricity load profile of PDN and the outdoor temperature for the typical cooling day are presented in Fig. 3. To guarantee comfort energy supply for building thermal demand, the indoor temperatures of buildings can vary between 19 °C and 25 °C, of which the standard temperature is 22 °C. The ramping value of indoor temperature between adjacent intervals cannot exceed 3 °C. Air specific heat capacity and density are 1.007 kJ/(kg \cdot °C) and 1.2 kg/m³, respectively.

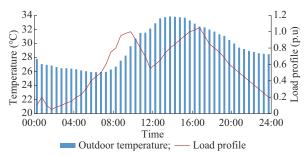


Fig. 3. Electricity load profile of PDN and outdoor temperature for typical cooling day.

The duration of electricity disruption can be 2, 2.5, 3, 3.5, and 4 hours, and the probability of the corresponding scenarios are 0.15, 0.2, 0.3, 0.2, and 0.15, respectively. Thus, the comprehensive probabilities of each period during 02:00-04:00 are 0.333, 0.283, 0.217, 0.117, and 0.050, respectively. Unit penalty costs of curtailed electricity loads in PDN and cooling loads in CESs are 100 CNY/kWh and 5 CNY/kWh, respectively. For risk management parameters, the confidence level α is set to be 0.8. As for the weight factor ω , it can be changed from 0 to 1; and lower value denotes risk-seeking schedule, while higher value represents risk-averse schedule. Especially, 0.7 is assigned to ω for concrete analysis.

In the ADMM optimization procedure, the initial penalty parameter is set to be 1.0. Step size adjustment parameter μ is set to be 2, where the coefficient v is 6. The maximum iteration is supposed to be 200 and convergence thresholds of both primary and dual residuals are set to be 0.5. For CPLEX solver, it is implemented with default settings and the optimality gap is 1×10^{-4} .

It is assumed that line 1-2 has a permanent three-phase fault at 09:30, and loads of bus 2 to bus 33 are completely out of service. After fault isolation, the risk-based decentralized self-healing operation is conducted for fault restoration.

A. Decentralized Self-healing Scheduling with Consensusbased ADMM

1) Analysis of Self-healing Scheduling Results

The reconfiguration strategy of PDN during 09:30-13:00 is presented in Fig. 4, and the active and reactive power control strategy of GTs in multi-zone CESs is shown in Fig. 5. On behalf of the buses that are fully and partly restored, they are marked with black and green solid circles, respectively, while others are indicated by the hollow ones. Similarly, the green solid rectangle indicates that the CES is chosen as the voltage reference point in the formed island; and the

control strategy of the GT in the corresponding CES turns into V/f mode. It can be observed that only one island is formed, and CES2 is picked out to support the network voltage.

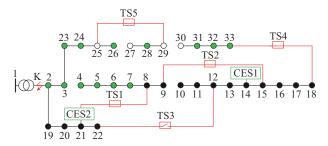


Fig. 4. Reconfiguration strategy of PDN during 09:30-13:00.

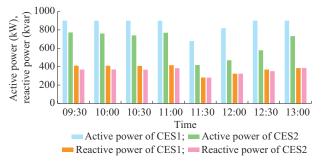


Fig. 5. Active and reactive power control strategy of GTs in multi-zone CESs.

The variation of indoor temperature of buildings in multiple CESs is depicted in Fig. 6. It can be observed that indoor temperature fluctuates within the comfort range and the values are generally near the maximum value. Thus, less cooling energy is needed on the premise of risk controllability, which will contribute to the supply recovery for distribution network.

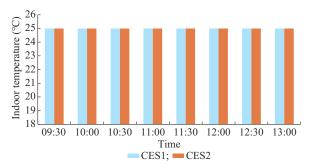


Fig. 6. Indoor temperature of buildings in multiple CESs.

Figure 7 illustrates the stored energy variation of thermal storages in CESs. The positive value of cooling-storage power means that it is in cooling-storage mode; otherwise, it is in cooling-releasing mode. As observed from Fig. 7, the energy storage and release behaviors are conducted timely for responding to the emergency according to the comprehensive risk-based tradeoff of demand profiles between PDN and CESs, as well as the serviceability and coordination of energy supply and storage devices. And the stored energy is released absolutely with no energy redundancy at the end of the maximum outage duration for better restoration effect in multiple possible scenarios.

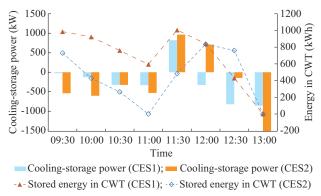


Fig. 7. Stored energy variation of thermal storages in CESs.

Benefiting from flexibilities of multi-area CESs, including the active/reactive support, thermal storage, and building demand response, as well as the flexible topology in PDN, more regulation capacities are exploited and the out-of-service demands can be recovered as much as possible with considering risk preferences. Self-healing oriented fault restoration results of PDN for different outage durations are listed in Table I. The expected unsupplied load is 3985.8 kWh, which is far below the original out-of-service expectation 8555.7 kWh; and the expected restoration rate is 53.4%. Incorporating restoration results of various durations, we can draw that the self-healing strategy can achieve better service recovery effect with the support of regulation potential from CESs.

TABLE I
SELF-HEALING ORIENTED FAULT RESTORATION RESULTS FOR DIFFERENT
OUTAGE DURATIONS

Outage duration (hour)	Total load (kWh)	Unrecovered load (kWh)
2.0	6334.1	3026.0
2.5	7355.7	3499.2
3.0	8470.2	3972.0
3.5	9677.6	4444.9
4.0	11052.1	5009.8

2) Comparison of Different Potential Combinations in CESs

Different resource utilization can affect the fault restoration effects significantly. The comparison of different potential combination scenarios for CESs is depicted in Table II.

Scenario	Thermal storage	Building thermal inertia	Expected loss of PDN (kWh)
1	×	\checkmark	4155.0
2	\checkmark	×	4764.9
3	\checkmark	\checkmark	3985.8

Note: $\sqrt{\text{means with consideration and} \times \text{means without consideration.}}$

Compared with scenario 1, the thermal storage devices, i.e., CWTs, are considered in scenario 3. With timely energy charging-discharging behaviors of CWTs, additional flexibili-

ties are provided for spatio-temporal emergency response in case of power disruption. Thus, more out-of-service loads are recovered with the risk-based self-healing strategy.

In scenario 2, the thermal inertia of building in CESs is ignored in contrast with scenario 3. By considering building thermal inertia, the indoor temperature can be regulated within reasonable range along with more adjustable margin for energy coordination and multi-area complementation. And the load recovery effect can be effectively improved.

By comparing the results of different potential combinations, it can be noted that the self-healing strategy can fully exploit the regulation potentials from CESs for facilitating self-healing capacity, achieving better fault restoration effect.

3) Performance Analysis of Adaptive ADMM

To indicate the effectiveness of the proposed decentralized strategy, the convergence processes of primary and dual residuals for adaptive and standard ADMM are illustrated in Fig. 8. It is observed that the consensus-based ADMM with self-adaptive step size converges by 74 iterations, whereas the primary and dual residuals of standard ADMM cannot converge to the set thresholds in 200 iterations. The step size of adaptive ADMM is dynamically updated during each iteration after the evaluation of primary and dual residuals, realizing a significant acceleration of convergence performance.

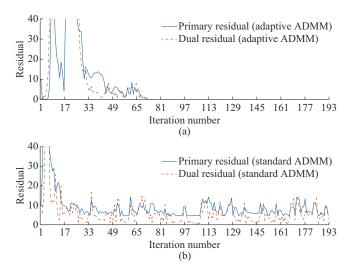


Fig. 8. Convergence processes of primary and dual residuals for adaptive and standard ADMM. (a) Residual convergence for adaptive ADMM. (b) Residual convergence for standard ADMM.

To further demonstrate the accuracy of the decentralized strategy, the restoration results of conventional centralized and adaptive ADMM are shown in Table III. The gap of expected total load loss (in goal function) of PDN and CESs for centralized and decentralized strategies is very small; thus, the validity of the decentralized strategy is verified. Although more computational time is needed for iteration optimization, the privacy protection and nearby optimal solution are realized with moderate solution time. Therefore, it is more applicable to actual energy systems with various entities.

TABLE III RESTORATION RESULTS OF CONVENTIONAL CENTRALIZED AND ADAPTIVE ${\bf ADMM}$

ADMM	Expected loss of PDN (kWh)	Expected loss of CESs (kWh)	Optimal goal (CNY)	Solution time (s)
Centralized	3029.7	2416.8	129248.2	60.4
Adaptive	3030.9	2416.8	129262.6	1771.0

B. Risk-based Management Analysis for Service Recovery

1) Impact Analysis of Weight Factor

The variation profiles of load loss value and CVaR with different weight factors are presented in Fig. 9.

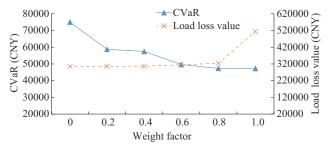


Fig. 9. Load loss value and CVaR with different weight factors.

As can be observed, with the increase of weight factor, the CVaR decreases while the expected load loss value increase simultaneously; and system operation varies from risk-seeking to risk-averse preferences. In other words, the lower operation risk can be obtained along with poorer fault-restoration effect, and vice versa. In actual operation, the operator needs to select the appropriate weight factor to pursue the utmost service restoration on the premise of satisfying their specific risk preference.

Without loss of generality, the operation results with different weight factors of 0.2, 0.6, and 1.0 are listed in Table IV, and the corresponding schedules of CES1 with different weight factors are shown in Fig. 10.

TABLE IV
OPERATION RESULTS WITH DIFFERENT WEIGHT FACTORS

Value of weight factor	Load loss value (CNY)	CVaR (CNY)
0.2	305723.7	58736.0
0.6	314580.8	49620.4
1.0	512733.0	47237.3

It is obvious that the risk-seeking strategy (Fig. 10(a)) tries its best to recover load and the initial stored energy in CWTs is released directly for supporting the power demand in PDN. As for risk-averse strategy (Fig. 10(b) and Fig. 10(c)), the energy storage and release behaviors exist simultaneously for the trade-off between load loss and risk values. The stronger willing for risk aversion, the more energy will be reserved for vigorous risk management under uncertain outage duration. With time-series energy transfer by storages and complementation coordination of coupling devices in CESs, as well as the flexible regulation in PDN, different

risk management schedules can be generated by reasonably setting the weight factors based on the operator's preference on the original target and risk values.

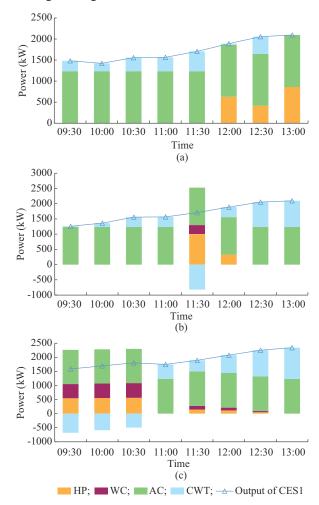


Fig. 10. Schedules of CES1 with different weight factors. (a) Operation state of CES1 when ω =0.2. (b) Operation state of CES1 when ω =0.6. (c) Operation state of CES1 when ω =1.0.

2) Analysis of Different Self-healing Schemes

To further demonstrate the effectiveness of risk management strategy, three schemes are constructed for performance comparison.

- 1) Scheme 1: the proposed strategy, i.e., CVaR-based self-healing scheduling, is adopted for service restoration.
- 2) Scheme 2: the stochastic optimization is conducted for service restoration, i.e., multiple scenarios with risk weight factor $\omega = 0$.
- 3) Scheme 3: the deterministic operation for the worst-case scenario is conducted for service restoration, i.e., the outage duration time is 4 hours.

The variations of stored energy in CWTs for different schemes are shown in Fig. 11, and Fig. 12 illustrates the unrecovered load of PDN for each scheduling period. Compared with Scheme 3, the thermal energies are released more rapidly for Scheme 2 due to large probability weight of the initial few periods. As for Scheme 1, energy-releasing behaviors occur at both head and tail intervals for recovery purpos-

es; and energy-storage behaviors appear during middle periods to support emergency energy demand with risk management, especially for the last two intervals.

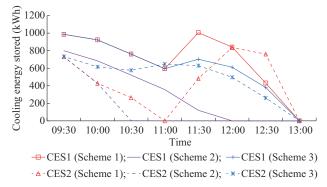


Fig. 11. Variation of stored energy in CWTs for different schemes.

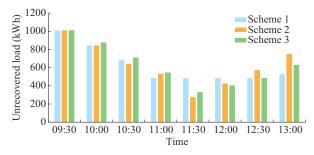


Fig. 12. Unrecovered load of PDN for each scheduling period.

Incorporating Fig. 12, it can be observed the out-of-service amount in the last few intervals of Scheme 1 is much less than Schemes 2 and 3, achieving better risk management while balancing the total load loss.

The comparisons of operation results for different schemes are listed in Table V. As can be observed, the load restoration of Scheme 3 is over-conservative, and the load loss value is the most severe in all schemes. The stochastic optimization in Scheme 2 has the lowest loss value and the highest CVaR, resulting in significant load-shedding risks in actual operation. As for Scheme 1, the best risk management performance is obtained with a moderate load loss value. Thus, it can be concluded that the risk-based self-healing strategy can conduct an effective load recovery with strong risk adaptability for uncertain outage duration.

TABLE V
OPERATION RESULTS FOR DIFFERENT SCHEMES

Scheme	CVaR (CNY)	Load loss value (CNY)
1	49617.1	314582.5
2	74994.0	305358.4
3	62955.0	316728.4

VI. CONCLUSION

This paper presents a decentralized risk-based self-healing strategy for PDN. The regulation potentials of multiple CESs, including active and reactive power support of GTs, as well as emergency response of thermal storage and building thermal inertia, are fully utilized for load restoration in case of power disruption. In terms of inherent outage duration uncertainty, bilateral risk management with CVaR for PDN and essential constraints for CESs is implemented for operation analysis considering risk preference. Furthermore, an adaptive ADMM is introduced to achieve decentralized optimization.

Case studies are conducted using the modified IEEE 33-bus PDN with multi-point CESs. It is indicated that the strategy can give full play to the flexible support capacities of multiple resources in CESs to restore out-of-service loads as much as possible. By applying bilateral risk measures with CVaR, the PDN load shedding of each period can be reasonably coordinated for effective operational risk control, guaranteeing the indispensable supply of CESs. Besides, the consensus-based ADMM solution is carried out to conduct decentralized optimal scheme of PND and CESs. The results are in accordance with that of the centralized strategy, and limited information interaction and privacy protection can be achieved. With the application of adaptive ADMM, convergence performances can be effectively improved.

In conclusion, the proposed risk-based decentralized selfhealing strategy can realize better emergency service recovery, with tough risk management ability under unpredictable outage duration. And the decentralized strategy is more applicable for privacy-safety scheduling under the independent operation of subsystems.

APPENDIX A

TABLE AI

DEVICE PARAMETERS OF CESS

Item	Capacity	COP or efficiency	Loss rate
HP	1000 kW for CES1 1000 kW for CES2	5.38	
WC	1000 kW for CES1 1000 kW for CES2	5.13	
CWT	10000 kWh for CES1 15000 kWh for CES2		0.001
GT	900 kW for CES1 800 kW for CES2	0.35 for electricity 0.40 for heating	
AC	1200 kW for CES1 1000 kW for CES2	1.20	

TABLE AII
BUILDING PARAMETERS IN EACH CES

location	Surface area (m²)	Volume (m³)	Dissipation coefficient $(W/(m^2 \cdot {}^{\circ}C))$
CES1	200000	280000	1.2
CES2	250000	320000	1.2

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